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NOV 07 2002  
PATENT & TRADEMARK OFFICE  
JC  
I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box Missing Parts, Commissioner of Patents, Washington, DC 20231 on the date shown below.

Date: November 1, 2002

By:

*Kay L. Gaviglio*  
Kay L. Gaviglio

TELECENTER 1600  
Docket No. GC396-2

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Brian S. Miller et al. ) Group Art Unit: 1652  
Serial No.: 09/262,126 ) Examiner: Rao, M.  
Filed: March 3, 1999 )  
For: Modified Forms of Pullulanase )

**TRANSMITTAL LETTER FOR NOTICE TO FILE**  
**MISSING PARTS OF NONPROVISIONAL APPLICATION**

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Nonprovisional Application dated September 17, 2002, enclosed please find:

- Form PTO-2016 (copy of Notice to be returned with response); and
- Other: CPA basic fee \$740.00; \$130.00 surcharge; and \$162.00 additional claim fees.
- Charge \$1,032.00 to Deposit Account No. 07-1048.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any

US Serial No. 09/262,126  
Page 2

overpayment, to Deposit Account No. 07-1048 (Docket No. GC396-2). A duplicate of this paper is enclosed.

Respectfully submitted,



Richard T. Ito  
Registration No. 32,242

Date: November 1, 2002

Genencor International, Inc.  
925 Page Mill Road  
Palo Alto, CA 94304  
Tel: 650-846-7500  
Fax: 650-845-6504



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TECH CENTER 1600  
drop dead 4-17-03  
DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION (CPA)**  
*Filing Date Granted*

The Continued Prosecution Application (CPA) request filed on 9/16/02 is entitled to a filing date under 37 CFR 1.53(d)(1). The CPA request, however, lacks the filing fee(s) and/or items indicated below.

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the fee(s), item(s), and any surcharge required below to avoid abandonment of this CPA. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

*The total amount owed by applicant is the sum of items 1(a) or (b), 2, and 3 (if checked) below.*

1. The statutory basic filing fee is:

missing.  
 insufficient.

(a) Applicant must submit \$ 740.00 to complete the basic filing fee and the \$130.00 surcharge set forth in 37 CFR 1.16(e) (non-small entity), or \$ 130.00 to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e) and file a small entity statement under 37 CFR 1.27 claiming such status (if the prior application was entitled to small entity status and such status is still proper and desired, a new small entity statement is not required (37 CFR 1.28)).

(b) Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e).

2. Additional claim fees of

\$ 162.00 (non-small entity) or \$ \_\_\_\_\_ (small entity) for \_\_\_\_\_ independent claims over 3.  
\$ 162.00 (non-small entity) or \$ \_\_\_\_\_ (small entity) for 9 claims over 20.  
\$ \_\_\_\_\_ (non-small entity) or \$ \_\_\_\_\_ (small entity) for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

3. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
 4. The CPA request is unsigned. Applicant must file a signed duplicate or ratification of the CPA request.  
 5. Other: \_\_\_\_\_

**A copy of this Notice MUST be returned with the reply.**

Direct the reply and any questions about this Notice to:

Marie Q. Hammell

11/08/2002 NM0HAMM1 00000085 071048 09262126

Examining Group 1600

01 FC:1006	740.00 CH
02 FC:1051	130.00 CH
03 FC:1202	162.00 CH

(703) 308-4277